

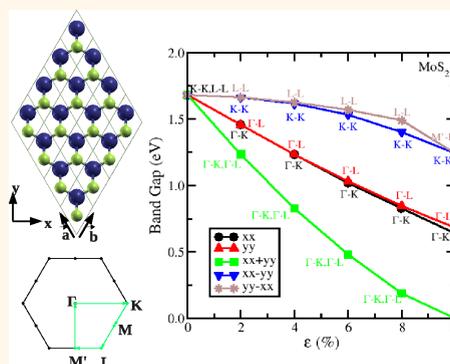
# Tuning the Electronic Properties of Semiconducting Transition Metal Dichalcogenides by Applying Mechanical Strains

Priya Johari\* and Vivek B. Shenoy\*

School of Engineering, Brown University, Providence, Rhode Island 02906, United States

Transition metal dichalcogenides (TMDs) which belong to the family of layered materials, classify a broad spectrum of materials ranging from insulators to semiconductors to semimetals to metals. They, thus, find applications in various fields such as opto-electronics, photovoltaics, batteries, defense, space, etc.<sup>1–11</sup> TMDs exhibit a “sandwich” type of structure (X–M–X) in which metal atoms (M) are located in between two layers of chalcogen atoms (X) (see Figure 1). Atoms within these three layers are bonded covalently, while individual sheets are bound via weak van der Waals interaction, which makes the properties of these materials extremely anisotropic. Similar to graphene, single sheets of TMDs can be extracted from the bulk using mechanical or solvent-based-exfoliation methods.<sup>8–11</sup> However, unlike graphene, which is intricate to use in opto-electronic devices due to its zero band gap, the semiconducting TMDs (MoX<sub>2</sub> and WX<sub>2</sub>; X = S, Se, and Te) possess a band gap suitable for device applications. Owing to strong surface effects, the properties of these materials vary drastically with the number of layers in a sheet. Therefore, they can be tuned on demand, which makes them a potential candidate for tunable nano-electronics. For example, (a) the electron energy loss spectra of TMDs shifts toward larger wavelengths (red shift) with the decrease in number of layers,<sup>12</sup> (b) the band gap increases from multilayer-to-single layer, and (c) multilayer MoX<sub>2</sub> and WX<sub>2</sub> are indirect band gap semiconductors, while their monolayers exhibit direct band gap. Monolayer MoX<sub>2</sub> and WX<sub>2</sub> can complement graphene in the applications that require thin transparent semiconductors, such as opto-electronics and energy harvesting. Being a direct band gap semiconductor, they can also be used to construct interband tunnel FETs.<sup>11,13,14</sup> Recently, a field effect transistor with a mobility rate comparable to silicon

**ABSTRACT** Semiconducting transition metal dichalcogenides (TMDs) are emerging as the potential alternatives to graphene. As in the case of graphene, the monolayer of TMDs can easily be exfoliated using mechanical or chemical methods, and their properties can also be tuned. At the same time, semiconducting TMDs (MX<sub>2</sub>; M = Mo, W and X = S, Se, Te) possess an advantage over



graphene in that they exhibit a band gap whose magnitude is appropriate for applications in the opto-electronic devices. Using *ab initio* simulations, we demonstrate that this band gap can be widely tuned by applying mechanical strains. While the electronic properties of graphene remain almost unaffected by tensile strains, we find TMDs to be sensitive to both tensile and shear strains. Moreover, compared to that of graphene, a much smaller amount of strain is required to vary the band gap of TMDs. Our results suggest that mechanical strains reduce the band gap of semiconducting TMDs causing an direct-to-indirect band gap and a semiconductor-to-metal transition. These transitions, however, significantly depend on the type of applied strain and the type of chalcogenide atoms. The diffuse nature of heavier chalcogenides require relatively more tensile and less shear strain (when the monolayer is expanded in *y*-direction and compressed in *x*-direction) to attain a direct-to-indirect band gap transition. In addition, our results demonstrate that the homogeneous biaxial tensile strain of around 10% leads to semiconductor-to-metal transition in all semiconducting TMDs, while through pure shear strain this transition can only be achieved by expanding and compressing the monolayer of MTe<sub>2</sub> in the *y*- and *x*-directions, respectively. Our results highlight the importance of tensile and pure shear strains in tuning the electronic properties of TMDs by illustrating a substantial impact of the strain on going from MS<sub>2</sub> to MSe<sub>2</sub> to MTe<sub>2</sub>.

**KEYWORDS:** 2D materials · dichalcogenides · DFT

and graphene nanoribbons (200 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>), high room temperature current on/off ratios, and ultralow standby power dissipation has been demonstrated using single layer MoS<sub>2</sub>.<sup>11</sup> Moreover, the ultrathin films of TMDs are also promising for obtaining nanotubes and other nanomaterials which show confinement effects in their electronic and optical properties. On the other hand, indirect semiconducting TMDs (thicker films or bulk) hold promise in photovoltaic applications such as electrodes in high-efficiency photochemical

\* Address correspondence to psony11@gmail.com, Vivek\_Shenoy@brown.edu.

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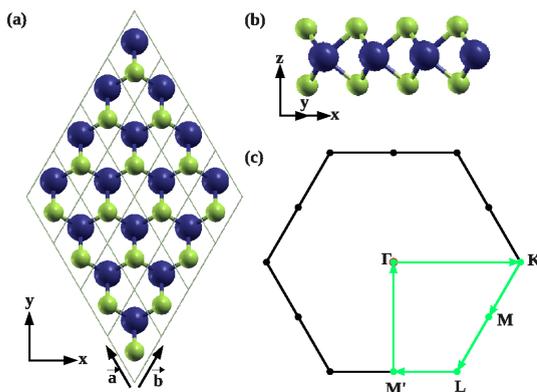
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cells, as their optical band gap is comparable to the solar wavelength.<sup>15–19</sup>

The properties of TMDs cannot only be tuned by varying the number of layers, but can also be modified by nanopatterning, chemical treatment, application of an external electric field or strain engineering. Nanopatterning and chemical treatment, however, can damage the 2D sheet and induce disorders, which can deteriorate the performance of the devices in terms of charge transport. Similar to graphene, the band gap of a single layer of semiconducting TMDs remains unaffected by the external electric field and can only be modulated when there are two or more layers.<sup>20</sup> Thus, all of the above-discussed schemes allow for tuning of the band gap but possess limitations in their own ways. Recently, strain engineering was identified as one of the best possible strategies to tune the band gap, since it neither attenuates the properties nor is inefficient for single layers.<sup>21,22</sup> The strain can be induced easily by growing the TMDs on flexible substrates.<sup>23,24</sup> Thus, by applying strain, features similar to nanopatterning and chemical treatment can be obtained, without permanent effect on the 2D sheet, as this process is fully reversible.

So far, strain engineering has proved to be an efficient way to induce and tune the band gap in graphene. It has been demonstrated both experimentally and theoretically that the optical, phononic, and electronic properties of graphene can be tuned and controlled by varying the strain from  $\sim 12\%$  to 20%.<sup>22,23,25,26</sup> This variation however strongly depends on the direction of the applied strain, as the band gap of graphene remains robust against uniaxial and symmetrical biaxial tensile strain,<sup>24,25,27</sup> and varies only when shear or a combination of shear and uniaxial deformation is applied. The monolayer of semiconducting TMDs already exhibits a direct band gap ranging approximately from 1.81 to 1.06 eV.<sup>17,28</sup> However, if this band gap can be tuned such that a semiconductor with a lower band gap or a semiconductor-to-metal transition can be achieved with the application of strain, then a wide range of tunable nanodevices can be fabricated. In the present work, therefore, we study the effect of mechanical strains on the electronic properties of monolayers of several semiconducting TMDs such as MoS<sub>2</sub>, MoSe<sub>2</sub>, MoTe<sub>2</sub>, WS<sub>2</sub>, WSe<sub>2</sub>, and WTe<sub>2</sub>. To this extent, we applied tensile as well as pure shear strain (expansion in one direction and compression in another) to the monolayers in the direction parallel to the basal plane and computed their electronic structure using first-principles density-functional-theory (DFT) based methodology. The effect of homogeneous biaxial tensile strain on semiconducting TMDs has been very recently studied.<sup>28,29</sup> However, to the best of our knowledge, strain profiles other than symmetrical biaxial tensile and compressive strains, have not been considered in any of the previous



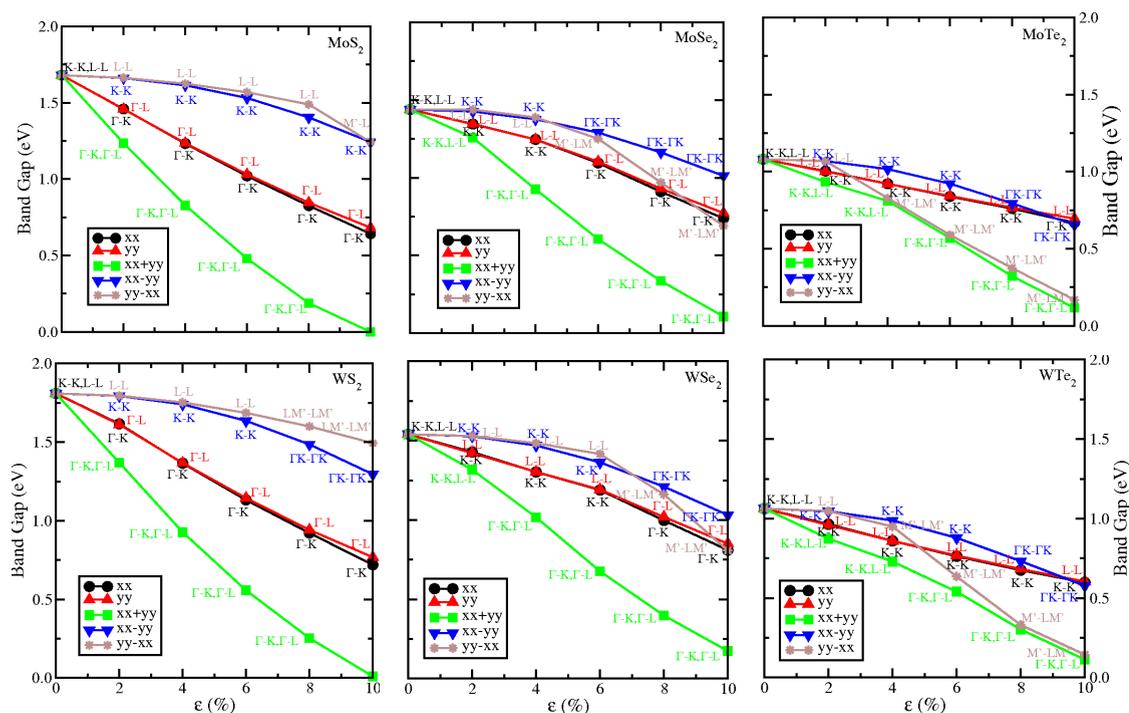
**Figure 1.** (a) Top and (b) side view of MX<sub>2</sub>, where big and small spheres depict M and X atoms, respectively. The figure shows a 4 × 4 supercell, where each of the unit cell is represented by gray (dashed) boxes. The symbols *a* and *b* symbolize the in-plane lattice vectors, which are defined as  $[0.5a, -0.5\sqrt{3}a, 0]$  and  $[0.5a, 0.5\sqrt{3}a, 0]$ , respectively, where *a* is the lattice constant of MX<sub>2</sub>. The strain along *x* or/and *y*-directions is applied by varying the *x* or/and *y* components of these lattice vectors, respectively. (c) Irreducible Brillouin zone of MX<sub>2</sub>. The irreducible path  $\Gamma$ KM $\Gamma$  corresponds to the unstrained and symmetrically strained TMDs, while  $\Gamma$ KMLM' $\Gamma$  encloses the irreducible wedge for the asymmetrically strained TMDs.

theoretical investigations for TMDs. Thus, by considering various strain profiles including uniaxial and biaxial tensile strain, and pure shear strain, our calculations cover a broader aspect of strain engineering and provide a mechanical pathway to substantially engineer the electronic properties of TMDs.

## RESULTS AND DISCUSSION

To study the effect of strain on the electronic properties of semiconducting TMDs, we first relaxed the atomic positions as well as lattice vectors and obtained the optimized geometry of monolayer MX<sub>2</sub> (M = Mo and W; X = S, Se, and Te). The optimized value of lattice constant *a*<sub>0</sub> is found to be 3.18 Å (MoS<sub>2</sub>), 3.32 Å (MoSe<sub>2</sub>), 3.55 Å (MoTe<sub>2</sub>), 3.18 Å (WS<sub>2</sub>), 3.31 Å (WSe<sub>2</sub>), and 3.55 Å (WTe<sub>2</sub>). The value of *a*<sub>0</sub> increases going from S to Se to Te, while it remains the same with the change in transition metal, that is,  $a_0(\text{MoX}_2) \approx a_0(\text{WX}_2)$ . The strained cell is modeled by stretching/compressing the hexagonal ring in the *x*- or/and *y*-directions. The top and side views of generalized structure of semiconducting TMDs with hexagonal symmetry and its irreducible Brillouin zone are illustrated in Figure 1. The band structure is calculated along high symmetry points  $\Gamma$ , K, M, L, M' as depicted in Figure 1c. The irreducible path  $\Gamma$ KM $\Gamma$  corresponds to the unstrained and symmetrically strained TMDs, while  $\Gamma$ KMLM' $\Gamma$  encloses the irreducible wedge for the asymmetrically strained TMDs. Thus, for symmetrical systems K(M) and L(M') points are identical.

On calculating the band structure of unstrained monolayer MX<sub>2</sub>, we find that all the investigated systems exhibit a direct band gap with valence band



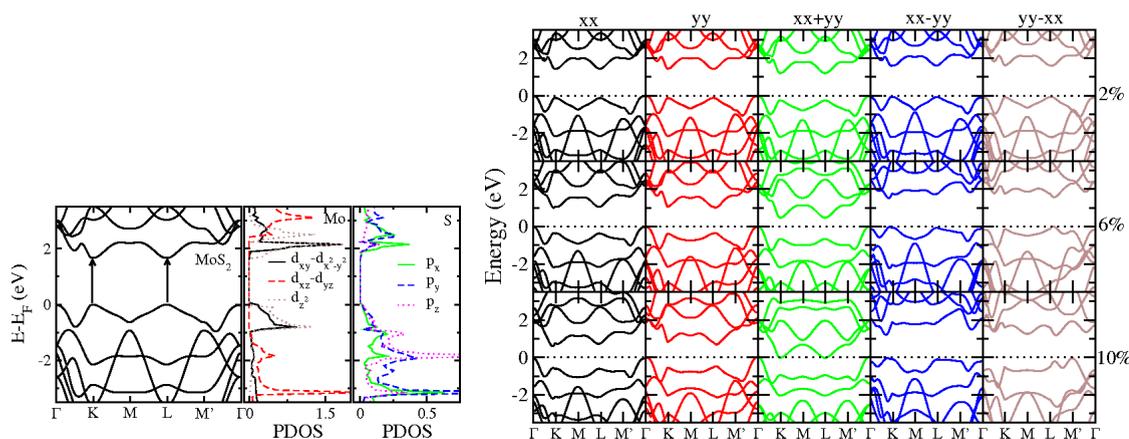
**Figure 2.** Band gap of monolayer TMDs with respect to strain,  $\varepsilon$ , which varies from 0 to 10%. Strain is applied to the optimized structures ( $\varepsilon = 0$ ) through various approaches, such as uniaxial expansion in  $x$ -direction ( $xx$ ),  $y$ -direction ( $yy$ ), homogeneous expansion in both  $x$ - and  $y$ -directions ( $xx+yy$ ), expansion in  $x$ -direction and compression in  $y$ -direction ( $xx-yy$ ), and compression in  $x$ -direction and expansion in  $y$ -direction ( $yy-xx$ ) with same magnitude of strain. The first three strain profiles correspond to tensile strain, while the latter two represent pure shear strain. The top (left, middle, and right) panels of Figure 2 depict the graphs corresponding to  $\text{MoX}_2$  ( $\text{WX}_2$ ), while the bottom panels show the same for  $\text{MS}_2$ ,  $\text{MSe}_2$ , and  $\text{MTe}_2$ , respectively, where M denotes Mo or W.

maximum (VBM) and conduction band minimum (CBM) located at the high symmetry point K. We calculate the band gap of optimized monolayer  $\text{MoS}_2$ ,  $\text{MoSe}_2$ ,  $\text{MoTe}_2$ ,  $\text{WS}_2$ ,  $\text{WSe}_2$ , and  $\text{WTe}_2$  to be 1.68, 1.44, 1.08, 1.81, 1.54, and 1.06 eV, respectively. It is well-known that the semilocal functionals in DFT underestimate the band gap, while hybrid functionals that have a certain percentage of Hartree–Fock exchange or the many-body techniques generally lead to better agreement with experiments. However, this trend is not generalized, and more often depends on the material considered. For example, our calculated PBE band gap for monolayer  $\text{MoS}_2$  underestimates the experimental band gap of 1.8 eV by just 0.12 eV.<sup>30</sup> Recently, Kuc *et al.*<sup>31</sup> and Ataca and Ciraci<sup>32</sup> showed that PBE0 and HSE06 hybrid functionals overestimate this band gap by approximately 1 and 0.45 eV, respectively. Moreover, Ataca and Ciraci<sup>32</sup> also reported a higher value of the band gap ( $G_0W_0$  gap of 2.78 eV and  $\text{GW}_0$  gap of 2.5 eV) obtained using many-body GW methods. Analyzing the aforementioned data, we believe that our choice of the PBE exchange–correlation functional for current systems is appropriate, and a general idea of the trends can be anticipated from our results.

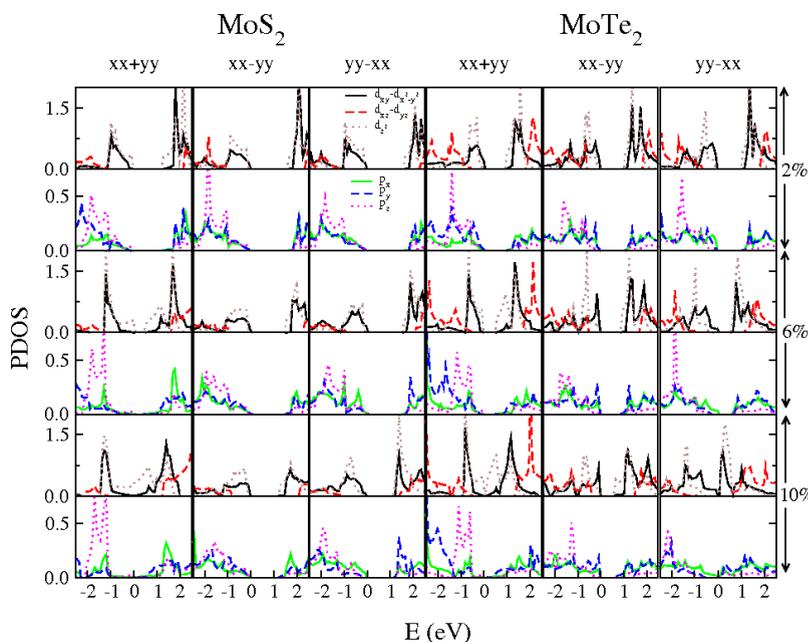
We next present the band gaps of symmetrically and asymmetrically strained monolayer TMDs. As mentioned above, tensile and pure shear strains are applied

to the optimized structures through various approaches to study the effect of mechanical strains on the electronic properties of semiconducting TMDs. The tensile strain is realized in three different ways: uniaxial expansion of monolayer in  $x$ -direction ( $xx$ ),  $y$ -direction ( $yy$ ), and homogeneous biaxial expansion in both  $x$ - and  $y$ -directions ( $xx+yy$ ), while two types of pure shear strain are applied by expanding and compressing the monolayer in  $x$ - and  $y$ -directions ( $xx-yy$ ), respectively, and by compressing it in  $x$ -direction and expanding in  $y$ -direction ( $yy-xx$ ) with the same magnitude of strain. Figure 2 presents the band gaps of monolayer  $\text{MX}_2$  with respect to strain, applied using the above-mentioned approaches. The top (bottom) panel of Figure 2 depicts the graphs corresponding to  $\text{MoX}_2$  ( $\text{WX}_2$ ), while the left, middle, and right panels show the same for  $\text{MS}_2$ ,  $\text{MSe}_2$ , and  $\text{MTe}_2$ , respectively, where M denotes Mo or W. It is evident from Figure 2 that the variations in the band gap with respect to strain applied through various approaches do not alter much with the change in transition metal, Mo and W. However, a qualitative as well as quantitative diversity in the trends can be seen with the change in chalcogenides, while moving from  $\text{MS}_2$  to  $\text{MSe}_2$  to  $\text{MTe}_2$ .

Starting with the lighter compounds, that is,  $\text{MS}_2$ , we investigate the variation in the nature of the band gap for  $\text{MS}_2$  upon application of tensile strain and report a monotonic decrease of the band gap with strain.



**Figure 3.** Left panel: Band structure and PDOS of unstrained MoS<sub>2</sub>. Right panel: Band structures of MoS<sub>2</sub> corresponding to  $\varepsilon = 2\%$ ,  $6\%$ , and  $10\%$ , when strain is applied to the optimized structures ( $\varepsilon = 0$ ) through various approaches, such as uniaxial expansion in  $x$ -direction ( $xx$ ),  $y$ -direction ( $yy$ ), homogeneous expansion in both  $x$ - and  $y$ -directions ( $xx+yy$ ), expansion in  $x$ -direction and compression in  $y$ -direction ( $xx-yy$ ), and compression in  $x$ -direction and expansion in  $y$ -direction ( $yy-xx$ ) with same magnitude of strain.



**Figure 4.** PDOS of MoS<sub>2</sub> and MoTe<sub>2</sub> when the monolayers are stretched in both  $x$ - and  $y$ -directions ( $xx+yy$ ), expanded along the  $x/y$ -direction and compressed along the  $y/x$ -direction ( $xx-yy/yy-xx$ ) with  $2\%$ ,  $6\%$ , and  $10\%$  strain. Moving from top to bottom, alternate rows depict PDOS of  $p$ - and  $d$ -orbitals, respectively.

In particular, upon application of tensile strain, the shortest transition that was otherwise a direct band transition for the unstrained system, transforms to an indirect band transition which corresponds to (i)  $\Gamma-K$ , when the monolayer is stretched in  $x$ -direction; (ii)  $\Gamma-L$ , when it is stretched in  $y$ -direction; and (iii)  $\Gamma-K$  and  $\Gamma-L$ , when the sheet is homogeneously stretched in both  $x$ - and  $y$ -directions (see left portion of Figure 2). To understand this transition, we analyze the band structure and partial density of states (PDOS) of unstrained (see the left panel of Figure 3) and strained monolayer of MoS<sub>2</sub> (see the right panel of Figure 3 and Figure 4). For better visibility we present band structure and PDOS of some selected cases only. For example,

the right panel of Figure 3 shows the band structures of MoS<sub>2</sub> corresponding to all cases of strain, when the monolayer is deformed by  $2\%$ ,  $6\%$ , and  $10\%$ , while Figure 4 depicts the PDOS of monolayer of MoS<sub>2</sub> and MoTe<sub>2</sub> corresponding to symmetrical biaxial tensile strain ( $xx+yy$ ) and both cases of pure shear strain ( $xx-yy$  and  $yy-xx$ ).

The left panel of Figure 3 shows that in the unstrained monolayer of MoS<sub>2</sub> the direct band gap corresponds to transition from the valence band maximum (VBM) to conduction band minimum (CBM) at  $K$  point of the Brillouin zone, where the VBM and CBM are mainly described by  $d_{xy}-d_{x^2-y^2}$  and  $d_{z^2}$  orbitals, respectively. An examination of the band structure of strained MoS<sub>2</sub> (see the right panel of Figure 3) shows

that application of tensile strain ( $xx$ ,  $yy$ ,  $xx+yy$ ) results in downward shifting of the valence band around K and L points, but does not affect the  $\Gamma$  point. This causes a shift in VBM from K and L points to  $\Gamma$  point, which is mainly described by the  $d_{z^2}$  orbital (see Figure 4), instead of the  $d_{xy}-d_{x^2-y^2}$  orbitals. As the CBM around the K and L points does not experience a similar shift and on the contrary remains totally unaffected by the tensile strain, a direct-to-indirect band gap transformation occurs, attributing new electronic properties to the material. Moreover, the CBM at K ( $xx$ ), L ( $yy$ ) and both K and L ( $xx+yy$ ) points shift toward the Fermi level. This shift is relatively fast in the case of symmetrical biaxial tensile strain, leading to semiconductor-to-metal transformation at only 10% of strain. Our results are in perfect agreement with the recent calculations of Scalise *et al.*,<sup>29</sup> who discuss the change in electronic properties of monolayer  $\text{MoS}_2$  from semiconducting to metallic when a symmetrical biaxial tensile (compressive) strain of 10% (15%) or more is applied. In the case of uniaxial expansion along  $x$ - and  $y$ -directions, our calculations predict that the band gap for both the cases ( $xx$  and  $yy$ ) reduces concurrently with strain, resulting in approximately half the value of an unstrained system when the 2D sheet is stretched by 10% (see Figure 3 and Figure 2). These results are in contrast to what has been reported for graphene, where tensile strain only shifts the position of the Dirac cone and induces the band gap for strains higher than 20%.<sup>25,27</sup>

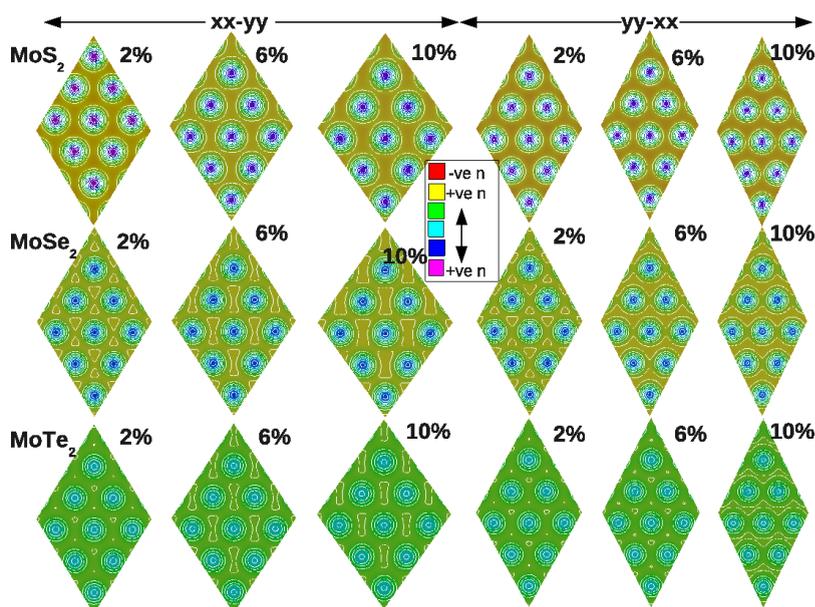
Besides tensile strain, shear strain is also responsible for band gap modifications, although here the band gap decrease for  $xx-yy$  and  $yy-xx$  is not as steep as for the case of tensile strain. For these examples of shear strain, the direct band gap character is retained for up to  $\varepsilon = 10\%$  with the shortest transition corresponding to K–K (L–L). As for the case of tensile strain, we find that pure shear strain results in a shift of the CBM at K or/and L toward the Fermi level, but conversely, the VBM remains almost intact at K and L points, while the energy of the valence band at  $\Gamma$  point moves down (see Figure 3). This keeps the nature of the band gap consistent with the unstrained case. Moreover, similar to unstrained  $\text{MoS}_2$ , the VBM and the CBM are also described by  $d_{xy}-d_{x^2-y^2}$  and  $d_{z^2}$  orbitals, respectively, in the case of pure shear strain. It is, however, noticed that when  $\text{MoS}_2$  is expanded along the  $y$ -direction and compressed along the  $x$ -direction by 10% or more, the  $p_x$  orbital also contributes in defining the VBM (see Figure 4). This shifts the position of VBM from the L to  $M'$  point of the irreducible Brillouin zone and leads to direct-to-indirect band gap transition. In the case of  $\text{WS}_2$ , no such transformation is seen until 10% of strain. However, with the increase in strain ( $>6\%$ ), the direct band gap transition shifts between  $\Gamma$  and K points when the surface is stretched in the  $x$ -direction and compressed in the  $y$ -direction, and between L and  $M'$

high symmetry points when expansion and contraction are applied along the  $y$ - and  $x$ -directions, respectively (see Figure 2), due to an increase in the overlapping of  $d$ -orbitals of heavier metal.

So far, our results have underscored the importance of both tensile and shear strains on the electronic properties of monolayers of the lighter TMDs, for example,  $\text{MS}_2$ . However, on examining TMDs exhibiting atoms heavier than S, such as Se and Te, we find that the diffuse nature of these chalcogenide atoms significantly affects their response to strain. Our results demonstrate that for  $\text{MSe}_2$  and  $\text{MTe}_2$ , the band gap decreases relatively slow and larger amounts of tensile strain are required to obtain direct-to-indirect band transition (see from left to right in Figure 2). We observe that the direct-to-indirect band gap transition takes place when the tensile strain during biaxial straining of  $\text{MSe}_2$  ( $\text{MTe}_2$ ) is approximately two (four) times more than that of  $\text{MS}_2$ . On the application of uniaxial strain,  $\text{MSe}_2$  ( $\text{MTe}_2$ ) undergoes an even larger expansion, 4–6% (8–10%), in order to achieve the aforementioned transition.

Upon investigating the effect of shear strain, we find that in contrast to  $\text{MS}_2$ , where direct-to-indirect band gap transformation is not seen on the application of both types of discussed shear strain (until  $\sim 10\%$ ), in  $\text{MSe}_2$  and  $\text{MTe}_2$ , the expansion in the  $y$ -direction and compression in  $x$ -direction ( $yy-xx$ ) leads to direct-to-indirect band gap transformation. This transition which corresponds to  $M'-LM'$  is achieved faster, that is, at low strain ( $>2\%$ ) in  $\text{MTe}_2$  as compared to that of  $\text{MSe}_2$ , where strain of more than 4–6% is required to change the nature of the band gap. The system, however, remains unaffected, and this transition is not observed on the application of other type of shear strain when the material is expanded in  $x$ -direction and compressed in  $y$ -direction ( $xx-yy$ ) until 8–10%. In the former case, the delocalization of  $p_x$ -orbitals of chalcogenide atoms (see Figure 5) causes a shift in the position of VBM from L to  $M'$  point, which mainly results in direct-to-indirect band gap transition. Moreover, an increase in the hybridization of  $d_{xy}-d_{x^2-y^2}$  and  $d_{z^2}$  orbitals of metal shifts the CBM from L to a point between L and  $M'$ . In the latter case, the delocalization of  $p_y$ -orbitals is not observed and thus the nature of the band gap remains unchanged. The isosurface plots of charge density at chalcogenide atoms of  $\text{MoX}_2$  when they are expanded in  $x/y$ -direction and compressed in  $y/x$ -direction ( $xx-yy/yy-xx$ ) by 2%, 6%, and 10% are presented in Figure 5. It is evident from the figure that heavier chalcogenides are more diffuse. Also, the charge density is localized in case of  $\text{MoS}_2$ , while it gets delocalized in  $x$ -direction with the increase in strain when monolayers of  $\text{MoSe}_2$  and  $\text{MoTe}_2$  are compressed in  $x$ -direction and stretched in  $y$ -direction.

Next we discuss the quantitative variations in the band gap of TMDs exhibiting heavier chalcogenides.



**Figure 5.** Isosurface plot of the charge density ( $n$ ) of chalcogenide atoms of monolayer  $\text{MoS}_2$  (top),  $\text{MoSe}_2$  (middle), and  $\text{MoTe}_2$  (bottom) when they are expanded along the  $x/y$ -direction and compressed along the  $y/x$ -direction ( $xx-yy/yy-xx$ ) by 2%, 6%, and 10%. The isosurface value was taken as  $0.05 \text{ e}/\text{\AA}^3$ .

On examining Figure 2 we find that similar to that of  $\text{MS}_2$ , the band gap decreases rapidly when  $\text{MSe}_2$  and  $\text{MTe}_2$  are stretched symmetrically in both  $x$ - and  $y$ -directions. However, due to the diffuse character of chalcogenide atoms larger strain is required on moving from  $\text{MS}_2$  to  $\text{MSe}_2$  to  $\text{MTe}_2$  to attain semiconductor-to-metal transition. On the application of pure shear strain, TMDs possessing heavier chalcogenide atoms show different behavior as compared to  $\text{MS}_2$  (see Figure 2). In  $\text{MS}_2$ , for both types of shear strains ( $xx-yy$  and  $yy-xx$ ) the band gap decreases slowly as compared to tensile strains and almost with the same rate. In  $\text{MSe}_2$  and  $\text{MTe}_2$ , however, a split/divergence in the curves (band gap vs strain) of both types of shear strains is observed, which increases with the size of chalcogenide (see Figure 2). It is evident from Figure 2 that in contrast to  $\text{MS}_2$ , the band gap decreases rapidly when the system is stretched in the  $y$ -direction and compressed in  $x$ -direction ( $yy-xx$ ), as compared to the other case of pure shear strain ( $xx-yy$ ). In the former case when the strain is more than 2–6%, the band gap becomes comparable to the value of asymmetrical uniaxial and symmetrical biaxial tensile strain in case of  $\text{MSe}_2$  and  $\text{MTe}_2$ , respectively (see Figure 2). Thus, by expanding and compressing the monolayer of  $\text{MTe}_2$  along  $y$ - and  $x$ -directions, respectively, its band gap decreases with a rate similar to that exhibited by the symmetrical biaxial tensile strain and attains a semiconductor-to-metal transition at roughly 10% of pure shear strain.

This rapid decrease in the band gap of TMDs having heavier chalcogenides results from the increase in the overlapping of  $p_x$ -orbitals. Moreover,  $d_{xy}-d_{x^2-y^2}$  orbitals which solely describe the VBM and the hybridized

$d_{xy}-d_{x^2-y^2}$  and  $d_{z^2}$  orbitals which constitute CBM, shift relatively fast toward the Fermi level and thus, also contribute into rapid decrease of band gap with the increase in pure shear strain (see Figure 4). This is contrary to the case of symmetrical biaxial tensile strain where the rapid decrease in the band gap and the subsequent semiconductor-to-metal transition is achieved due to transition between  $d_{z^2}$  orbitals. Thus, our results reveal different physical reasons for attaining the semiconductor-to-metal transition using symmetrical biaxial tensile strain and pure shear strain ( $yy-xx$ ). Through our calculations we demonstrate that unlike graphene, semiconducting TMDs are significantly sensitive to almost all types of mechanical strain and their electronic properties can widely be tuned and controlled by applying strain through various approaches. Thus, we predict that these materials are not only potential alternatives to graphene but can also define a new range of tunable nanodevices.

## CONCLUSIONS

We performed *ab initio* density functional theory based calculations to study the effect of mechanical strains on the electronic properties of the monolayer of semiconducting transition metal dichalcogenides. Our results elucidate the importance of strain engineering in controllably tuning the band gap of TMDs. More specifically, they underscore the significance of pure shear strain in tuning the band gap of heavier TMDs, suggesting that these materials are promising candidates for a wide range of nanodevices with tunable properties. Our results are summarized as follows: (i) While the electronic structure of TMDs is significantly affected by the size and the electronic configuration of

the chalcogenide atom, it retains its character upon variation of the metallic atoms. (ii) Semiconducting TMDs are quite sensitive to mechanical strains. In particular, both tensile and shear strain reduce the band gap. (iii) Upon application of biaxial tensile strain, the band gap decreases rapidly as compared to uniaxial tensile strain, and attains a semiconductor-to-metal transition at a small strain of around 10% due to overlapping of  $d_{z^2}$  orbital at Fermi level. (iv) Tensile strain also transforms the monolayer of TMDs from direct-to-indirect band gap materials. This transition is achieved at a small strain of 1–2% in case of transition metal disulfides, which gradually increases upon transitioning from sulfides to selenides to tellurides. (v) Upon application of pure shear strain to  $MS_2$ , the band gap decreases slower and its nature remains unaffected. However, a significant variation in the band gap is seen when the pure shear strain is applied to the monolayers of  $MSe_2$  and  $MTe_2$ . Specifically, when they are compressed along the  $x$ -direction and expanded along the  $y$ -direction, the in-plane  $p_x$ -orbitals of heavier chalcogenides delocalize and this leads to a direct-to-indirect band gap transition. Moreover, an increase in the overlapping of  $p_x$ -orbitals and shifting of  $d_{xy}$ – $d_{x^2-y^2}$  and hybridized  $d_{z^2}$  and  $d_{xy}$ – $d_{x^2-y^2}$  orbitals toward the Fermi level reduce the band gap with the increase in strain. Because of the diffuse nature of heavier chalcogenides, the band gap decreases relatively faster for the case of  $MTe_2$  and leads to a semiconductor-to-metal transition when a pure shear strain ( $yy$ – $xx$ ) of  $\varepsilon \geq 10\%$  is applied. Thus, our calculations illustrate that semiconductor-to-metal

**TABLE 1. Lattice Constants<sup>a</sup>**

strain	$a$ (Å)				
	$xx$	$yy$	$xx+yy$	$xx-yy$	$yy-xx$
MoS <sub>2</sub> ( $a_0 = 3.18$ Å)					
2%	3.20	3.23	3.24	3.15	3.21
4%	3.21	3.28	3.31	3.12	3.24
6%	3.23	3.32	3.37	3.09	3.28
8%	3.24	3.37	3.43	3.06	3.31
10%	3.26	3.42	3.50	3.03	3.35
MoSe <sub>2</sub> ( $a_0 = 3.32$ Å)					
2%	3.33	3.36	3.38	3.28	3.35
4%	3.35	3.41	3.45	3.25	3.38
6%	3.37	3.46	3.51	3.22	3.42
8%	3.38	3.51	3.58	3.19	3.45
10%	3.40	3.56	3.65	3.16	3.49
MoTe <sub>2</sub> ( $a_0 = 3.55$ Å)					
2%	3.56	3.60	3.62	3.51	3.58
4%	3.58	3.65	3.69	3.48	3.62
6%	3.60	3.70	3.76	3.44	3.66
8%	3.62	3.76	3.83	3.41	3.69
10%	3.64	3.81	3.90	3.38	3.74

<sup>a</sup> Lattice constants of MoS<sub>2</sub>, MoSe<sub>2</sub>, and MoTe<sub>2</sub> when the monolayers are expanded in  $x$ -direction ( $xx$ ),  $y$ -direction ( $yy$ ), both  $x$ - and  $y$ -directions ( $xx+yy$ ), expanded in  $x$ - and compressed in  $y$ -direction ( $xx-yy$ ), and expanded in  $y$ - and compressed in  $x$ -direction ( $yy-xx$ ) by 2%, 4%, 6%, 8%, and 10%.  $a_0$  represents the optimized lattice parameters of the unstrained monolayers. The corresponding lattice parameters for  $WX_2$  were found similar to  $MoX_2$ , and therefore, not presented here.

transition can be achieved at the presence of both homogeneous biaxial tensile strain and pure shear strain, through different physical mechanisms.

## COMPUTATIONAL DETAILS

In this work, we investigated the effect of strain on the electronic structure of monolayers of semiconducting TMDs, represented by  $MX_2$ , where  $M = Mo$  and  $W$ , and  $X = S, Se$ , and  $Te$ . All configurations possess hexagonal symmetry and belong to the  $P6_3/mmc$  group. The electronic structure calculations of unstrained and strained monolayers of TMDs were performed using first-principles DFT based methodology, as implemented in the Vienna *ab-initio* simulation (VASP) package.<sup>33,34</sup> Projector-augmented-wave (PAW) potentials<sup>35</sup> were used to account electron-ion interactions, while the electron exchange-correlation interactions were treated using generalized gradient approximation (GGA) in the scheme of Perdew–Burke–Ernzerhof.<sup>36</sup> To obtain the unstrained configuration, atomic positions and lattice vectors were optimized using a conjugate gradient algorithm. In the case of strained geometries, the lattice vectors were varied with a fixed value to constitute the strained cell, and atoms were allowed to relax. Atomic relaxation was performed until the interatomic forces were less than 0.01 eV/Å, which was found sufficient to obtain relaxed parameters. A  $k$ -point sampling of  $35 \times 35 \times 1$  was used for the relaxation calculations, while a denser mesh of  $45 \times 45 \times 1$  was considered postrelaxation to generate density of states and charge densities. A plane wave cutoff of 400 eV was used for all the calculations, and a vacuum of approximately six layers was introduced to avoid interaction between periodic images of slabs in the  $z$ -direction.

To examine various configurations of strained cells, strain was applied to all TMDs in symmetrical as well as asymmetrical manners. Mainly, five different types of strain distributions were studied, which include: (i) expansion in  $x$ -direction ( $xx$ ), (ii) expansion in  $y$ -direction ( $yy$ ), (iii) homogeneous biaxial expansion in  $x$ - and  $y$ -directions ( $xx+yy$ ), (iv) expansion in  $x$ -direction and compression in  $y$ -direction ( $xx-yy$ ), and (v) compression in  $x$ -direction and expansion in  $y$ -direction ( $yy-xx$ ) with the same magnitude of strain. The first three strain profiles correspond to tensile strain, while the latter two are the examples of pure shear strain. Except for the pure shear strain where the system is expanded in  $x$ -direction and compressed in  $y$ -direction, all types of strain profiles lead to increase in the lattice parameter as compared to its optimized value (see Table 1).

**Conflict of Interest:** The authors declare no competing financial interest.

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